

# *Running Coupling Effects in Small- $x$ QCD*

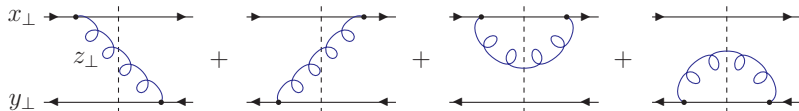
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- Many approaches to small- $x$  QCD
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- Towards the next to leading order BK equation
  - Running coupling effects on the Pomeron intercept  $\rightsquigarrow$   
Sensitivity to infrared
  - The saturation momentum and geometric scaling
  - Running coupling vs. Pomeron loop effects

# TOWARDS THE NLO BK EQUATION

# Leading order



- Probability for soft gluon emission in the dipole wavefunction

$$dP = \frac{\bar{\alpha}}{2\pi} \underbrace{\frac{(x - y)^2}{(x - z)^2(z - y)^2}}_{\mathcal{M}_{xyz}} d^2z dY$$

- Soft gluon  $\rightarrow$  Quark-Antiquark pair

Either daughter dipole can scatter off target hadron

$$\frac{dS_{xy}}{dY} = \frac{\bar{\alpha}}{2\pi} \int d^2z \mathcal{M}_{xyz} (S_{xz}S_{zy} - S_{xy})$$

# Argument of coupling?

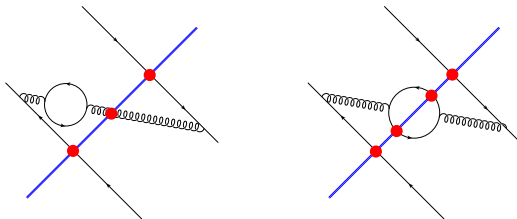
- Do not know scale in argument of coupling constant  
Non-local (in transverse space) evolution in contrast to DGLAP
- Expand running coupling to see what we need

$$\alpha(Q^2) = \alpha_\mu - \alpha_\mu^2 \beta \ln \frac{Q^2}{\mu^2} + \alpha_\mu^3 \beta^2 \ln^2 \frac{Q^2}{\mu^2} - \dots$$

- One quark-loop  $\bar{\alpha}_\mu \times (\alpha_\mu N_f) \times \Delta Y$   
Two quark-loops  $\bar{\alpha}_\mu \times (\alpha_\mu N_f)^2 \times \Delta Y$   
Sum  $(\alpha_\mu N_f)^k$  for all  $k$ , then let  $-2N_f \rightarrow 11N_c - 2N_f = 12\pi\beta$
- Recover scale in coupling argument

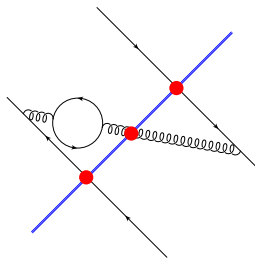
# Quark loop

- Two classes of diagrams to order  $\bar{\alpha}\alpha N_f$



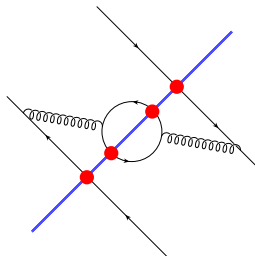
- First type diagrams: typical running coupling correction  
Two contiguous dipoles  $(x, z)$  and  $(z, y)$   
Expect just a kernel modification to LO equation
- Second type diagrams: different wavefunction component  $\rightsquigarrow$   
NLO equation: more complicate structure (plus double integration)
- Running coupling: two contiguous dipoles

## Simple diagrams: running coupling



- Loop integration over  $k^2$ : UV divergent  
Dimensional regularization  $1/\epsilon \rightarrow \ln \mu^2$
- Integrate all longitudinal momenta of loop quark and antiquark

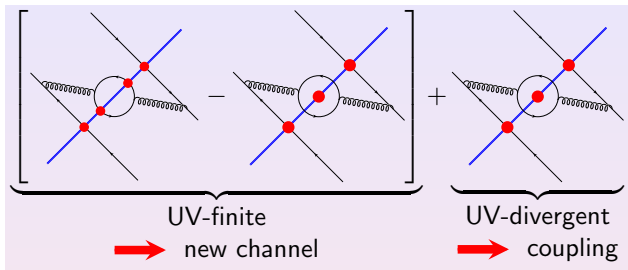
# New channel diagrams



- When pair shrinks to a point  $\rightsquigarrow$   
Size  $\rightarrow 0$ , loop momentum  $\rightarrow \infty$  : UV divergent  
Contributes to running of coupling



# Isolate running



- Add and subtract  $\infty$  to isolate running
- Choose “point” as linear combination of  $q$  and  $\bar{q}$  positions
- Not unique way (Balitsky vs Kovchegov-Weigert)
- Full NLO equation: Unique, but not closed
- Running coupling part: Closed equation, but not unique

# NLO equation

- Next to leading order equation (Balitsky “scheme”):

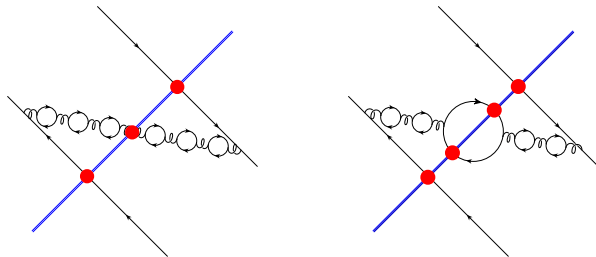
$$\begin{aligned}\frac{dS_{\mathbf{x}\mathbf{y}}}{dY} = & \frac{\bar{\alpha}_\mu}{2\pi} \int d^2\mathbf{z} \mathcal{M}_{\mathbf{x}\mathbf{y}\mathbf{z}} \left[ 1 + \frac{\alpha_\mu N_f}{6\pi} \ln \frac{e^{-5/3}}{(\mathbf{x} - \mathbf{y})^2 \mu^2} + \dots \right] (S_{\mathbf{x}\mathbf{z}} S_{\mathbf{z}\mathbf{y}} - S_{\mathbf{x}\mathbf{y}}) \\ & + \frac{\bar{\alpha}_\mu \alpha_\mu N_f}{N_c^2} \int d^2\mathbf{z}_1 d^2\mathbf{z}_2 \text{ [new state]}\end{aligned}$$

- Main difference in Kovchegov-Weigert “scheme” amounts to

$$\ln \frac{1}{(\mathbf{x} - \mathbf{y})^2 \mu^2} \rightarrow \ln \frac{R^2(\mathbf{r}_1, \mathbf{r}_2)}{\mathbf{r}_1^2 \mathbf{r}_2^2 \mu^2}$$

$\mathbf{r}_1, \mathbf{r}_2$ : daughter dipole sizes

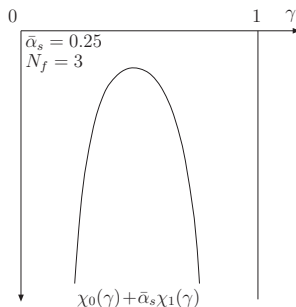
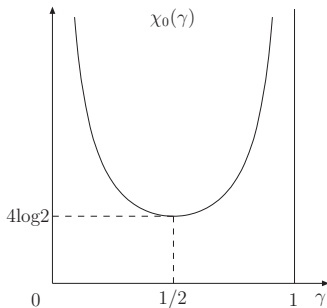
# Bubbles



- Resum bubbles (contained in higher  $N^n$  LO corrections)
- How many resummations we need to do (still no P. loops)?
  - ▶ BFKL equation  $\rightarrow$  Resum  $(\bar{\alpha}Y)^n$
  - ▶ Non-linear terms  $\leftrightarrow$  Resum target high density effects
  - ▶ Bubbles to get running coupling
  - ▶ Bad collinear behavior of NLO kernel  $\rightarrow$  Pole resummation

$$\gamma(\omega = 1) = 0$$

# Characteristic function



- Act on  $(r^2)^{1-\gamma}$  (not an eigenfunction)
- More than obvious instability (even more complicated)

# Argument of coupling

- Balitsky: Scale in coupling argument set by parent dipole size

Running coupling equation:

$$\frac{dS_{xy}}{dY} = \frac{\bar{\alpha}(\mathbf{r}^2)}{2\pi} \int_z \left\{ \mathcal{M}_{xyz} + \frac{1}{r_1^2} \left[ \frac{\alpha(\mathbf{r}_1^2)}{\alpha(\mathbf{r}_2^2)} - 1 \right] + 1 \leftrightarrow 2 \right\} (S_{xz}S_{zy} - S_{xy})$$

- Kovchegov-Weigert: Triumvirate of running couplings

$$\frac{\bar{\alpha}(\mathbf{r}_1^2)\bar{\alpha}(\mathbf{r}_2^2)}{\bar{\alpha}(R^2)}$$

- Fixed order  $\alpha_\mu^2$ : large dipoles cutoff needed only in principle
- All orders (resummed bubbles): not integrable singularity  $\leadsto$   
“freeze” the coupling or put cutoff  
check independence at the end
- Dynamically generated saturation momentum  $Q_s^2 \gg \Lambda_{\text{QCD}}^2 \leadsto$   
scale “effectively” setting the argument of the running coupling  
will ensure cutoff independence

# POMERON INTERCEPT AND IR SENSITIVITY

# Pomeron Intercept (1/5)

- Assumptions
  - ▶ linear equation
  - ▶ simplified evolution kernel
  - ▶ particular running
- What is fastest increase of amplitude?



## Pomeron Intercept (2/5)

- “Running coupling evolution equation”

$$\frac{\partial T}{\partial Y} = \alpha(\rho) \left[ 1 + \left( \partial_\rho + \frac{1}{2} \right)^2 \right] T \quad \text{with} \quad \rho = \ln 1/r^2 \Lambda^2$$

Can choose more general coefficients or form

- Exact general solution for  $\alpha = 1/\rho$  in terms of Airy function

$$T(\rho, Y) = \sum_{\omega} \exp \left( \omega Y - \frac{\rho}{2} \right) \text{Ai} \left( \frac{\omega \rho - 1}{\omega^{2/3}} \right)$$

## Pomeron Intercept (3/5)

- Cut infrared contribution  $r > r_0 > 1/\Lambda \rightsquigarrow$   
boundary condition  $T(\rho_0) = 0$
- For given boundary,  $\omega$  related to zeros of Airy function

$$\omega_n = \frac{1}{\rho_0} - \frac{|\xi_n|}{\rho_0^{5/3}} + \dots = \alpha(\rho_0) - |\xi_n| \alpha^{5/3}(\rho_0) + \dots$$

- Solution becomes

$$T(\rho, Y) = \sum_n \exp \left( \omega_n Y - \frac{\rho - \rho_0}{2} \right) \text{Ai}(-|\xi_n| + \omega_n^{1/3}(\rho - \rho_0))$$

## Pomeron Intercept (4/5)

- Rightmost zero of Airy function at  $-|\xi_1| = -2.33 \leadsto$  Largest  $\omega$   
 $\omega_1 =$  Pomeron intercept
- In QCD:  $\omega_{\mathbb{P}} = 4 \ln 2 \bar{\alpha}$
- $n = 1$  solution dominates up  $\rho - \rho_0 \lesssim [\alpha(\rho_0) Y]^{2/3}$   
 $n \neq 1$  not very physical (oscillations)
- Schrodinger equation: attractive linear potential  $\leadsto$   
Solution in perturbative region strongly dependent on cutoff
- Running coupling BFKL not self-consistent

## Pomeron Intercept (5/5)

- Assume something milder than “absorptive” boundary  
“Freeze” the coupling

$$\alpha(\rho) = \begin{cases} 1/\beta\rho & \text{for } \rho \gg 1 \\ \mathcal{O}(1) & \text{for } \rho = \rho_0 \sim \mathcal{O}(1) \\ \alpha_0 < 1 & \text{for } \rho = -\infty \end{cases}$$

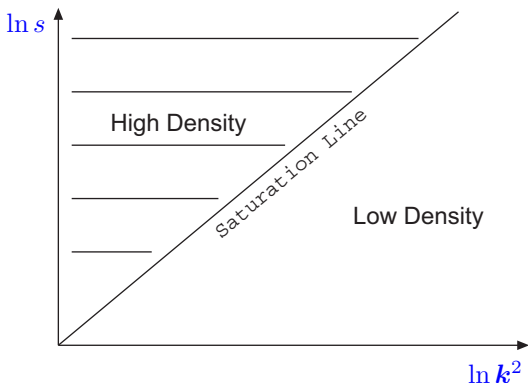
with  $\alpha(\rho)$  monotonic

- Diffusion to infrared:

For any given perturbative dipole  $\rho \gg \rho_0$ , main contribution from region where coupling is strongest: momenta  $\sim \Lambda$

# THE SATURATION MOMENTUM AND GEOMETRIC SCALING

# Logarithmic plane



- Saturation line: transition from low to high density
- $T(r^2 = 1/Q_s^2(Y)) = \text{const}$

# Saturation momentum (1/8)

- Enough to analyze linear equations
- Boundary conditions replace non-linear terms  
Caution: b.c are  $Y$ -dependent
- Expectation: Non-linear terms  $\sim$  cutoff  
Physics around  $Q_s$  determined by momenta around  $Q_s$
- Initially assume  $\alpha \rightarrow \alpha(Q_s)$ 
  - ▶ Leading behavior of saturation momentum
  - ▶ All schemes  $\rightarrow$  same answer

## Saturation momentum (2/8)

- Linear running coupling equation

$$\frac{\partial T}{\partial Y} = \frac{1}{\beta \rho_s} \chi(1 + \partial_\rho) T$$

- Find line  $\rho_s(Y)$  along which  $T = \text{const}$ 
  - ▶ Change variable  $\rho \rightarrow z \equiv \rho - \rho_s(Y)$
  - ▶ Expand chi function around (yet unknown)  $\gamma_s$
  - ▶ Set derivative of amplitude w.r.t.  $Y$  equal to zero
  - ▶ Set constant term and coefficient of  $\partial_z$  equal to zero

Two equations determine

- ▶ Anomalous dimension  $\gamma_s$
- ▶ Saturation momentum  $Q_s^2(Y)$



## Saturation momentum (3/8)

- Leading  $Y$ -dependence of saturation momentum

$$Q_s^2(Y) = \Lambda^2 \exp \left[ \sqrt{\frac{2\chi(\gamma_s)}{\beta(1-\gamma_s)}} (Y + Y_0) \right] \quad \text{with} \quad \gamma_s = 0.372$$

- $0 < \gamma_s < 1/2$ : between DGLAP and Pomeron intercept
- Slower increase: coupling decreases along saturation line
- Consequence of running coupling:  
At high energies the same  $Q_s$  for any hadron  $\leadsto$   
no  $A^{1/3}$  enhancement for a nucleus

## Saturation momentum (4/8)

- Preasymptotic terms are not negligible
- Expand running coupling to 1st order around  $Q_s$

$$\alpha(\rho) = \frac{1}{\beta\rho_s} - \frac{z}{\beta\rho_s^2} + \dots$$

Different evolution equation for different schemes

- Can show scheme-independence of first correction  
Choose “parent dipole scheme”

## Saturation momentum (5/8)

- Solve (approximately) 2nd order P.D.E. with  $Y$ -dependent b.c.
- The saturation momentum

$$Q_s^2(Y) = \Lambda^2 \exp \left[ \sqrt{\frac{2\chi(\gamma_s)}{\beta(1-\gamma_s)}} Y - A Y^{1/6} \right]$$

- Scattering amplitude

$$T(z, Y) = Y^{1/6} \exp[-(1-\gamma_s)z] \text{Ai} \left( -|\xi_1| + B \frac{z+c}{Y^{1/6}} \right)$$

- Known constants  $A$  and  $B$  (contain  $-|\xi_1|, \chi_s'', \dots$ )

## Saturation momentum (6/8)

- Geometric scaling: Within a distance  $\sim Y^{1/6}$  (in log-units) amplitude (total cross section) is function only of  $z = \ln 1/r^2 Q_s^2$

$$T = \left( \frac{Q_s^2}{Q^2} \right)^{1-\gamma_s} \left( \ln \frac{Q^2}{Q_s^2} + c \right)$$

Same expression as in fixed coupling case

Phenomenon appears for momenta higher than  $Q_s$

- Diffusion radius  $Y^{1/6}$ : much smaller
- Less sensitive to UV: easier to solve numerically
- No way to get geometrical scaling from DGLAP

## Saturation momentum (7/8)

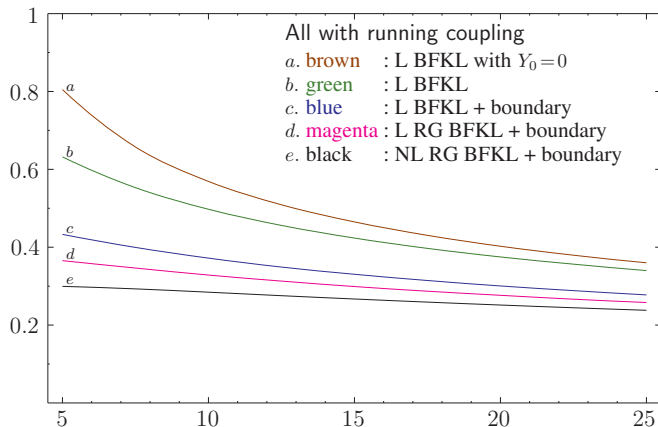
- Full NLO calculation: more terms
- Collinear resummation (DGLAP matching):

$$\gamma(\omega) = \int dz z^\omega P_{gg}(z) \Rightarrow \gamma(1) = 0$$

Topic by itself: see Gavin Salam, hep-ph/9910492

- Cannot really calculate analytically at NLO:  
Coupling along  $Q_s$  decreases, NLO converges to running coupling
- Estimate correction for  $\lambda_s \equiv d \ln Q_s^2 / dY$  to be  $\mathcal{O}(\alpha) \sim 30\%$

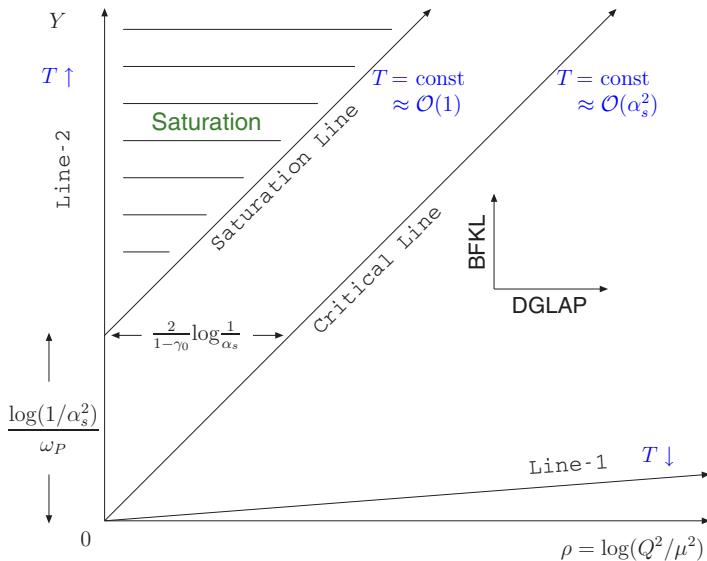
## Saturation momentum (8/8)



- More or less what the fits give (GBW,IIM,...):  $\lambda_s \simeq 0.3$

# RUNNING COUPLING VS. POMERON LOOP EFFECTS

# Logarithmic plane





- Extreme sensitivity to ultraviolet: Contribution from momenta

$$\ln(Q^2/Q_s^2) \lesssim \sqrt{\bar{\alpha} D_s Y} \rightsquigarrow Q^2 \lesssim \dots$$

- Reconstruct solution in two steps: violation of unitarity (!)

$$1 \geq T \sim \frac{1}{\alpha^2} T_a T_b \quad \text{and for } T_a < \alpha^2 \quad \text{then } T_b > 1$$

- Absence of Pomeron splittings: Two ladders merge, but how could we have them in the first place?
  - ▶ Nucleus target (or even proton?)  $\rightsquigarrow$  Many sources  $\rightsquigarrow$  Many BFKL pomerons: Initial condition
  - ▶ Dynamics: Pomeron splittings  $\rightsquigarrow$  Pomeron loops  
Corrections to equations (not present in LO or NLO BK-JIMWLK)

## Two-boundary problem (1/2)

- Initially assume fixed coupling
- Solve BFKL with two absorptive boundaries (IR+UV)
- $\Delta = \frac{1}{1 - \gamma_s} \ln(1/\alpha^2)$  = separation of boundaries  
Within  $\Delta$ , amplitude drops from  $\mathcal{O}(1)$  to  $\mathcal{O}(\alpha^2)$

- Look for  $Y$ -independent BFKL solution

$$\left[ \chi \left( 1 + \frac{\partial}{\partial z} \right) - \lambda_s \frac{\partial}{\partial z} \right] T = 0$$

- Real combination satisfying boundary conditions (no saddle point)

$$T \sim \exp[-(1 - \gamma_r) z] \sin \frac{\pi z}{\Delta}, \quad \gamma_i = \frac{\pi}{\Delta}$$

## Two-boundary problem (2/2)

- Real part  $\gamma_r$  uniquely fixed in terms of  $\gamma_i$  or  $\Delta$  or  $\alpha$

$$\lambda_s = \frac{\chi(\gamma)}{1 - \gamma} \quad \text{with} \quad \text{Im}(\lambda_s) = 0$$

- For large separation of boundaries  $\Delta \gg 1 \Leftrightarrow \alpha \ll 1$

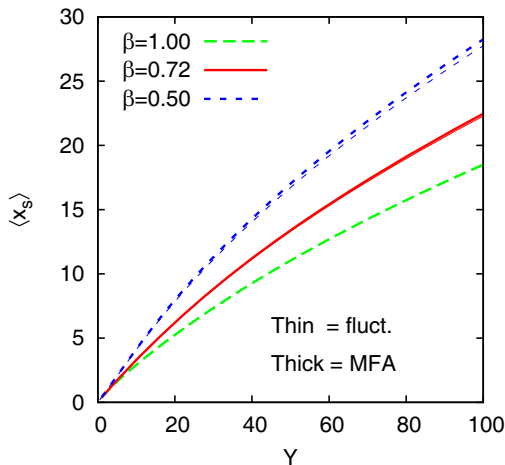
$$\frac{\lambda_s}{\bar{\alpha}} = \frac{\chi(\gamma_s)}{1 - \gamma_s} - \frac{\pi^2(1 - \gamma_s)\chi_s''}{2 \ln^2 \alpha^2}$$

- Relative correction is  $1/R_{\text{eff}}^2$  with  $R_{\text{eff}}$  = effective transverse space  
True in general
- Running coupling: let  $\alpha \rightarrow \alpha(Q_s)$

## *Pomeron loops vs running (1/4)*

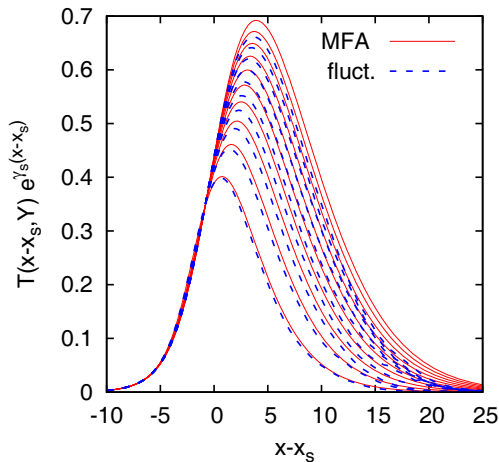
- One of the two effects dominates?  
Or both are important?  
Seek for numerical solutions
- We do not have a theory  
Construct a model on basic principles and include both effects  
Different (but same shape) characteristic function
- Compare pomeron loops + running vs. running

## Pomeron loops vs running (2/4)



- No difference in the saturation momentum

## Pomeron loops vs running (3/4)



- No difference in the amplitude

## *Pomeron loops vs running (4/4)*

- Up to super high rapidities:  
Pomeron loops + running coupling = running coupling
- Highly non-trivial statement since (for same i.c.)  
Pomeron loops at fixed coupling  $\neq$  BK-JIMWLK
- We have used slightly asymmetric initial conditions  
In practice they are: virtual photon - hadron

## *Pomeron loops vs running: Explanation?*

- Compare the two corrections
- Pomeron loops:  $\delta\lambda_s \sim 1/\ln^2 \alpha \sim 1/R_{\text{eff}}^2$   
 $R_{\text{eff}} \sim$  two-boundary width
- Running coupling:  $\delta\lambda_s \sim \alpha^{2/3} \sim 1/Y^{1/3} \sim 1/R_{\text{eff}}^2$   
 $R_{\text{eff}} \sim$  diffusion radius
- First glance: it seems Pomeron loops are more important
- Diffusion radius grows very slowly with running coupling  
Not really enough “time” to become equal to two-boundary width  
(Contrast to fixed coupling dynamics: diffusion radius  $\sim \sqrt{Y}$ )